



**Gwasanaeth Democraidd**  
**Democratic Service**  
Swyddfa'r Cyngor  
CAERNARFON  
Gwynedd  
LL55 1SH

Cyfarfod / Meeting

**PWYLLGOR ARCHWILIO**  
**AUDIT COMMITTEE**

Dyddiad ac Amser / Date and Time

**10.30am DYDD IAU, 22 TACHWEDD 2012**

**10.30am THURSDAY, 22 NOVEMBER 2012**

Lleoliad / Location

**SIAMBR ARFON/CHAMBER,**  
**SWYDDFEYDD Y CYNGOR/COUNCIL OFFICES,**  
**PENRALLT,**  
**CAERNARFON**

Pwynt Cyswllt / Contact Point

**GWYN PARRY WILLIAMS**

**01286 679665**

[gwynparrywilliams@gwynedd.gov.uk](mailto:gwynparrywilliams@gwynedd.gov.uk)

Dosbarthwyd/Distributed: 14-11-12

**PWYLLGOR ARCHWILIO  
AUDIT COMMITTEE**

**AELODAETH/MEMBERSHIP (19)**

**Plaid Cymru (9)**

Y Cynghorwyr/Councillors

Edward Dogan  
Chris Hughes  
Dilwyn Morgan

Huw Edwards  
Charles W Jones  
Michael Sol Owen

Aled Ll. Evans  
Dyfrig Jones  
Gethin G. Williams

**Annibynnol/Independent (4)**

Y Cynghorwyr/Councillors

Trevor Edwards

Tom Ellis

John Pughe Roberts

Angela Russell

**Llais Gwynedd (4)**

Y Cynghorwyr/Councillors

Anwen Davies

John Brynmor Hughes    Aeron M. Jones

R.J. Wright

**Llafur/Labour (1)**

Y Cynghorydd/Councillor

Sion W. Jones

**Aelod Lleyg/Lay Member**

Mr John Pollard

**Aelod Ex-officio/Ex-officio Member**

Cadeirydd y Cyngor /Council Chairman

## **RHAGLEN**

### **1. YMDDIHEURIADAU**

Derbyn unrhyw ymddiheuriadau am absenoldeb.

### **2. DATGAN BUDDIANT PERSONOL**

Derbyn unrhyw ddatganiad o fuddiant personol

### **3. MATERION BRYD**

Ystyried unrhyw fater arall sydd yn teilyngu sylw bryd ym marn y Cadeirydd.

### **4. COFNODION**

Bydd y Cadeirydd yn cynnig y dylid llofnodi cofnodion cyfarfod diwethaf o'r pwyllgor hwn a gynhaliwyd ar 27 Medi 2012, fel rhai cywir.

(copi yma- papur **gwyn**)

### **5. YMATEB YR ADRAN ADNODDAU DYNOL I ARGYMHELLION ARCHWILIO MEWNOL – RECRIWTIO – PROFION HUNANIAETH**

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg  
(copi yma – papur **aur**)

### **6. YMATEB YR ADRAN RHEOLEIDDO I ARGYMHELLION ARCHWILIO MEWNOL – UNED TRWYDDEDU**

Cyflwyno adroddiad Pennaeth Adran Rheoleiddio (copi yma – papur **llwyd**)

### **7. CYNNYRCH YR ADAIN ARCHWILIO MEWNOL**

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg yn amlinellu gwaith yr Adain Archwilio Mewnol am y cyfnod rhwng 1 Awst a 30 Medi 2012

(copi yma – papur **glas**)

### **8. CYLLIDEB REFENIW 2012/13 – ADOLYGIAD AIL CHWARTER (MEDI 2012)**

Cyflwyno adroddiad y Pennaeth Cyllid (copi yma – papur **melyn**)

### **9. RHAGLEN GYFALAF 2012/13 – ADOLYGIAD DIWEDD YR AIL CHWARTER (MEDI 2012)**

Cyflwyno adroddiad y Pennaeth Cyllid  
(copi yma – papur **aur**)

**10. RHEOLAETH TRYSORLYS 2012/13 – ADOLYGIAD CANOL  
BLWYDDYN**

Cyflwyno, er gwybodaeth, adroddiad y Pennaeth Cyllid ar wir weithgarwch benthyg a buddsoddi'r Cyngor hyd yma yn y flwyddyn ariannol gyfredol (copi yma – papur **pinc**)

**11. DATGANIAD STRATEGAETH RHEOLAETH TRYSORLYS 2012/13**

Atgoffa'r aelodau o'r hyfforddiant gan Gwmni Arlingclose ar y mater uchod am 2.00 o'r gloch ar 29 Ionawr 2013, yn Ystafell Glyder Fawr, Swyddfeydd y Cyngor, Penrallt, Caernarfon.

**12. CYNLLUN ARCHWILIO MEWNOL 2012/13**

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg ar y cynnydd ar Gynllun Archwilio Mewnol 2012/13 (copi yma – papur **gwyrdd**)

## **AGENDA**

### **1. APOLOGIES**

To receive apologies for absence.

### **2. DECLARATION OF PERSONAL INTEREST**

To receive any declaration of personal interest.

### **3. URGENT BUSINESS**

To note any items that are a matter of urgency in the view of the Chairman for consideration.

### **4. MINUTES**

The Chairman shall propose that the minutes of the last meeting of this committee, held on 27 September 2012, be signed as a true record.  
(copy herewith - **white** paper)

### **5. THE HUMAN RESOURCES DEPARTMENT'S RESPONSE TO THE RECOMMENDATIONS OF INTERNAL AUDIT – RECRUITMENT – IDENTITY CHECKS**

To submit the report of the Senior Audit and Risk Manager (copy herewith – **gold** paper)

### **6. THE REGULATORY DEPARTMENT'S RESPONSE TO THE RECOMMENDATIONS OF INTERNAL AUDIT – LICENCING UNIT**

To submit the report of the Head of Regulatory Department (copy herewith – **grey** paper)

### **7. OUTPUT OF THE INTERNAL AUDIT SECTION**

To submit the report of the Senior Audit and Risk Manager outlining the work of the Internal Audit Section for the period between 1 August and 30 September 2012 (copy herewith – **blue** paper)

### **8. 2012/13 REVENUE BUDGET – SECOND QUARTER REVIEW (SEPTEMBER 2012)**

To submit the report of the Head of Finance (copy herewith – **yellow** paper)

**9. CAPITAL PROGRAMME 2012/13 – END OF SECOND QUARTER REVIEW (SEPTEMBER 2012)**

To submit the report of the Head of Finance  
(copy herewith – **gold** paper)

**10. TREASURY MANAGEMENT 2012/13 – MID-YEAR REVIEW**

To submit, for information, the report of the Head of Finance on the Council's actual borrowing and investment during the current financial year (copy herewith – **pink** paper)

**11. TREASURY MANAGEMENT STRATEGY STATEMENT 2012/13**

To remind the members of the training by Arlingclose Company on the above matter at 2.00pm on 29 January 2013, in Ystafell Glyder Fawr, Council Offices, Penrallt, Caernarfon.

**12. INTERNAL AUDIT PLAN 2012/13**

To submit the report of the Senior Audit and Risk Manager on the progress of the Internal Audit Plan 2012/13 (copy herewith – **green** paper)